

QP1020-3 AUDIT REPORT**Report Date:** September 19, 2017**Audit Date(s):** September 17-18, 2017**Audit Scope:** Quality Management Process (needs & expectations of interested parties, Risk Management, establishing and tracking quality objectives, change management, Improvement, customer satisfaction, internal audits, nonconformity and corrective action, Management Review**Audit Team:**

- Jim Theesfeld Lead Auditor
- name auditor
- name auditor

Criteria: All Quality Management Processes of the QMS**Objective:** To determine that all processes are being properly adhered to according to ISO 9001:2015**Key Area Employees:**

- Rick Hardeman Manufacturing Manager
- Brandon Foley Purchasing Manager
- name area/title

Management Summary:**1) Overall Finding**

We have conducted an audit of the Quality Management Processes of Harrington Signal's QMS and conclude that they are generally compliance with ISO 9001:2015, and Harrington Signal's Quality Management System.

2) Strengths

The quality management processes and its supporting systems are well documented, thorough, well communicated, and generally followed. Evidence shows that there is an excellent management system in place. The Management review process for Harrington Signal is very well established.

3) Weaknesses

Training is being conducted, but could be strengthened by better management participation. Objectives have been established, but tracking of those objectives could be improved. Steps to reduce the number of corrective actions for Parr and Pillar Tech should be addressed.

4) Obstacles Encountered

No obstacles that hindered this audit were encountered. Managers were available to the auditor at all times.

Findings:

No non-conformances were found during this audit.

Observations (Opportunities for Improvement):


(The Quality Management process was in conformance at the time of the audit, but items that could result in findings in a later audit if not addressed by Harrington Signal management are as follows: better documentation for tracking objections for both the company and departments and better documentation of all continuous improvement that occurs during the year.


Tentative Schedule for Correction(s) and Follow-Up:

CORRECTION	FOLLOW-UP DATE

Other Comments:

Section 8.8 notes

Lead Auditor Jim Theesfeld  Date: 9/19/17

Department Managers Rick Hardeman, Brandon Foley  Date: 9/19/17

Audit Report is based on random samples; therefore, not every aspect of Harrington Signal's activities has necessarily been assessed. Hence, where no nonconformities are reported, it does not follow that none exist.